

Neutrino Mixing Pattern and its Implications for Charged Lepton Rare Decays and CP Violation

K.S. Babu

Department of Physics, Oklahoma State University



The 5th Workshop on "Neutrino Oscillations and their Origin"

(NOON2004)

February 11-15, 2004

Tokyo, Japan

Outline

Interpreting Neutrino Oscillation Results

- *Patterns of Neutrino Mass Spectrum*

Theoretical Modeling

- *Large Neutrino Mixing*
- *Unified Quark-Lepton Description*
- *Anomalous U(1) Symmetry and Fermion Mass Hierarchy*

Lepton Flavor Violation and Large Neutrino Mixings

- *Rare Decays $\tau \rightarrow \mu\gamma$, $\mu \rightarrow e\gamma$*
- *Leptogenesis*
- *Lepton Dipole Moments*

Conclusions

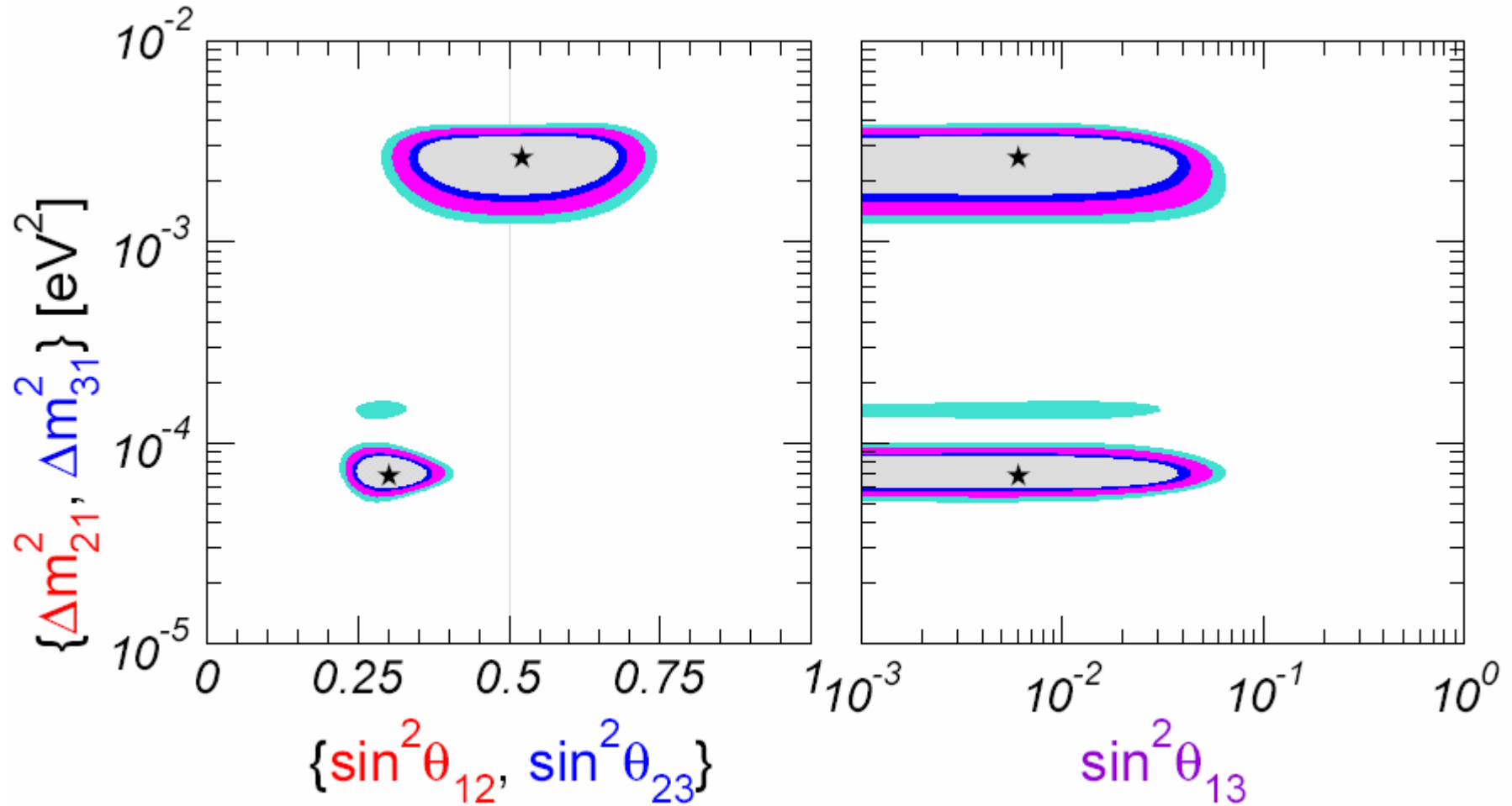
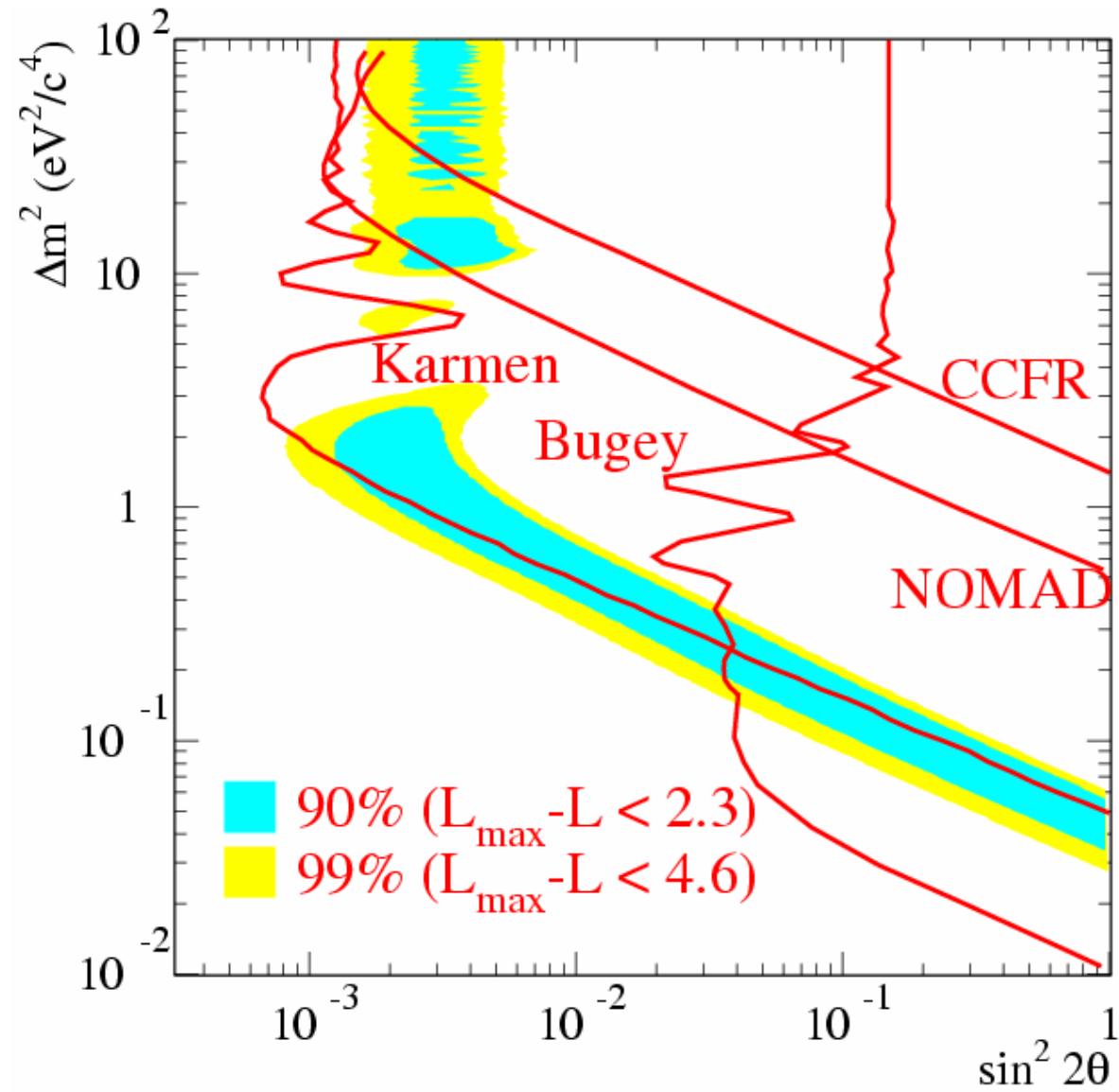


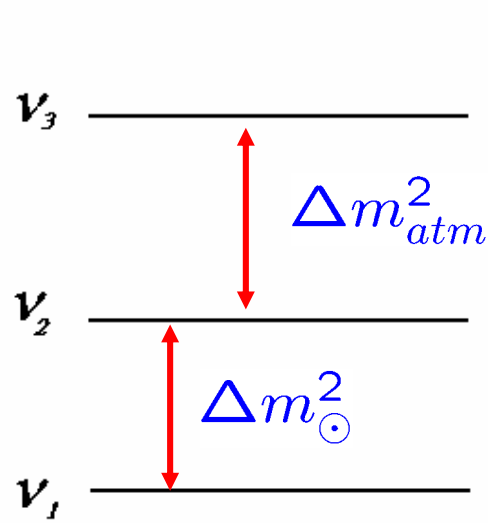
Figure 10: Projections of the allowed regions from the global oscillation data at 90%, 95%, 99%, and 3σ C.L. for 2 d.o.f. for various parameter combinations.

M. Maltoni et al., Phys. Rev. D68, 113010 (2003)

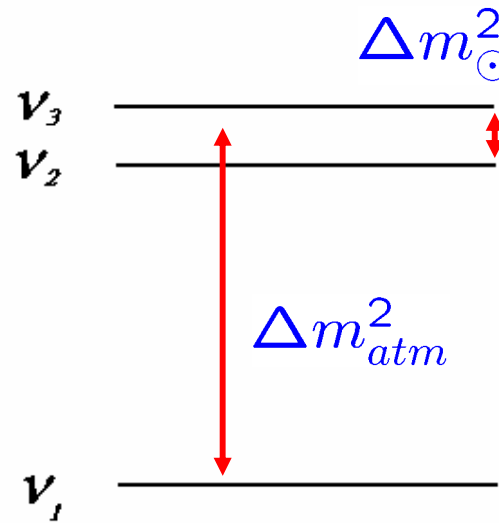
LSND



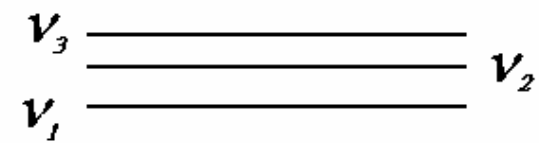
Patterns of Neutrino Mass Spectrum



Normal Hierarchy



Inverted Hierarchy



Quasi-degenerate

Neutrino Mixing versus Quark Mixing

Leptons

$$U_\ell = \begin{pmatrix} 0.85 & -0.52 & 0.053 \\ 0.33 & 0.62 & -0.72 \\ -0.40 & -0.59 & -0.70 \end{pmatrix}$$

Quarks

$$V_q = \begin{pmatrix} 0.976 & 0.22 & 0.003 \\ -0.22 & 0.98 & 0.04 \\ 0.007 & -0.04 & 1 \end{pmatrix}$$

Disparity a challenge for Quark-Lepton unified theories.

Neutrino Masses and the Scale of New Physics

$$\mathcal{L} = \frac{LLHH}{M_R}$$

$$\langle H \rangle \sim 246 \text{ GeV and } m_{\nu_3} \sim 0.05 \text{ eV}$$

from atmospheric neutrino oscillation data

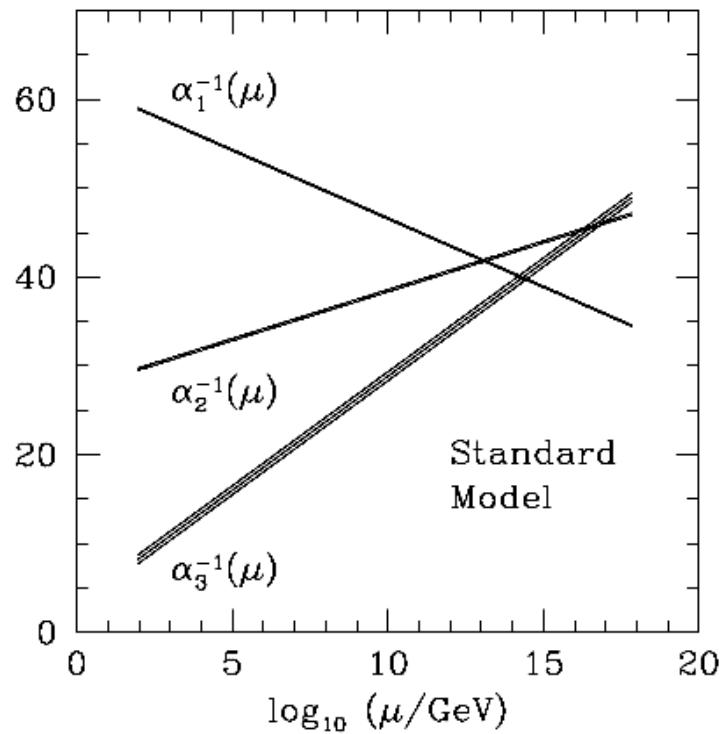


$$m_R \sim 10^{14} - 10^{15} \text{ GeV}$$

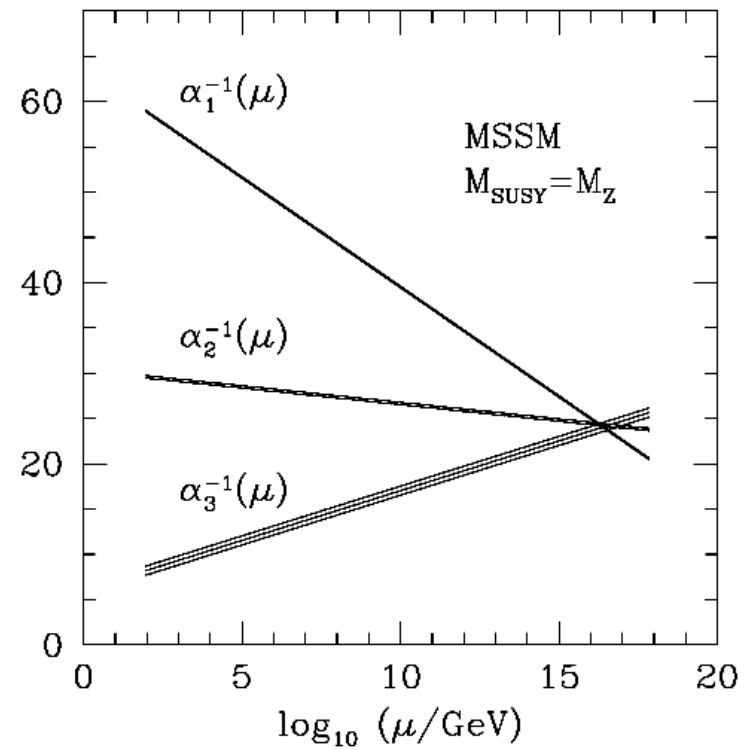
Very close to the GUT scale.

Leptogenesis via ν_R decay explains cosmological baryon asymmetry

Evolution of Gauge Couplings



Standard Model



Supersymmetry

Structure of Matter Multiplets

$$Q = \begin{pmatrix} u_1 & u_2 & u_3 \\ d_1 & d_2 & d_3 \end{pmatrix} \sim (3, 2, \frac{1}{6})$$

$$u^c = (u_1^c \quad u_2^c \quad u_3^c) \sim (\bar{3}, 1, \frac{-2}{3})$$

$$d^c = (d_1^c \quad d_2^c \quad d_3^c) \sim (\bar{3}, 1, \frac{1}{3})$$

$$L = \begin{pmatrix} \nu \\ e^- \end{pmatrix} \sim (1, 2, \frac{-1}{2})$$

$$e^c \sim (1, 1, +1)$$

$$\nu^c \sim (1, 1, 0)$$

Matter Unification in 16 of SO(10)



| | | |
|---------|---|--|
| u_1 | : | $\uparrow\downarrow\uparrow\uparrow\downarrow$ > |
| u_2 | : | $\uparrow\downarrow\uparrow\downarrow\uparrow$ > |
| u_3 | : | $\uparrow\downarrow\downarrow\uparrow\uparrow$ > |
| d_1 | : | $\downarrow\uparrow\uparrow\uparrow\downarrow$ > |
| d_2 | : | $\downarrow\uparrow\uparrow\downarrow\uparrow$ > |
| d_3 | : | $\downarrow\uparrow\downarrow\uparrow\uparrow$ > |
| u_1^c | : | $\downarrow\downarrow\uparrow\downarrow\downarrow$ > |
| u_2^c | : | $\downarrow\downarrow\downarrow\uparrow\downarrow$ > |
| u_3^c | : | $\downarrow\downarrow\downarrow\downarrow\uparrow$ > |
| d_1^c | : | $\uparrow\uparrow\uparrow\downarrow\downarrow$ > |
| d_2^c | : | $\uparrow\uparrow\downarrow\uparrow\downarrow$ > |
| d_3^c | : | $\uparrow\uparrow\downarrow\downarrow\uparrow$ > |
| ν | : | $\uparrow\downarrow\downarrow\downarrow\downarrow$ > |
| e | : | $\downarrow\uparrow\downarrow\downarrow\downarrow$ > |
| e^c | : | $\downarrow\downarrow\uparrow\uparrow\uparrow$ > |
| ν^c | : | $\uparrow\uparrow\uparrow\uparrow\uparrow$ > |

SUSY Spectrum

| SM Particles | | SUSY Partners | |
|--------------|-------|---------------|------------|
| Spin = 1/2 | Q | \tilde{Q} | Spin = 0 |
| | u^c | \tilde{u}^c | |
| | d^c | \tilde{d}^c | |
| | L | \tilde{L} | |
| | e^c | \tilde{e}^c | |
| Spin = 0 | H_u | \tilde{H}_u | Spin = 1/2 |
| | H_d | \tilde{H}_d | |
| Spin = 1 | g | \tilde{g} | Spin = 1/2 |
| | W | \tilde{W} | |
| | B | \tilde{B} | |

$$R = (-1)^{3B+L+2S}$$

Lepton Flavor Violation and Neutrino Mass

Seesaw mechanism naturally explains small ν -mass.

$$\mathcal{L} = \bar{\nu}_L M_D \nu_R + \frac{1}{2} \nu_R^T M_R \nu_R + h.c.$$
$$M_\nu = -M_D M_R^{-1} M_D^T$$

Current neutrino-oscillation data suggests

$$M_R \sim (10^{12} - 10^{15}) \text{ GeV}$$

Flavor change in neutrino-sector



Flavor change in charged leptons

In standard model with seesaw, leptonic flavor changing is very tiny.

$$Br(\mu \rightarrow e\gamma) \propto \frac{1}{M_R^4} \sim 10^{-50}$$

In Supersymmetric Standard Model

$$Br(\mu \rightarrow e\gamma) \propto \frac{1}{M_{SUSY}^4} \sim 10^{-10}$$

For $M_R \leq \mu \leq M_{Pl}$ ν_R active

→ flavor violation in neutrino sector transmitted to Sleptons

Borzumati, Masiero (1986)

Hall, Kostelecky, Raby (1986)

Hisano et. al., (1995)

Hisano, Okada (1998)

SUSY Seesaw Mechanism

$$\mathcal{W} = f\nu^c\nu^c\Delta + Y_\nu\nu^c LH_u$$

$$M_D = Y_\nu v_u ; M_R = f v_{B-L}$$

If $B-L$ is gauged, M_R must arise through Yukawa couplings.

Flavor violation may reside entirely in f or entirely in Y_ν

If flavor violation occurs only in Dirac Yukawa Y_ν (with mSUGRA)

$$\Delta m_{ij}^2 (i \neq j) \simeq -\frac{1}{8\pi^2} (3m_0^2 + A_0^2) (Y_\nu^\dagger Y_\nu)_{ij} \left(\ln \frac{M_{Pl}}{M_{B-L}} \right)$$

If flavor violation occurs only in f (Majorana LFV)

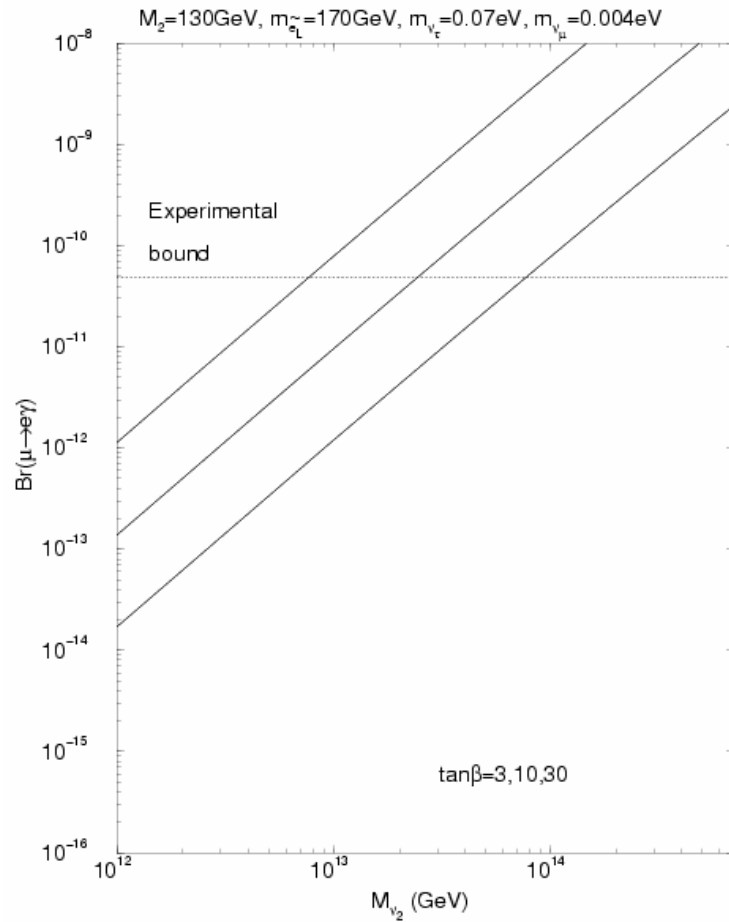
$$A_{lij} (i \neq j) \simeq \frac{-3}{64\pi^4} [A_\ell (Y_\nu^\dagger Y_\nu f^\dagger f + f^\dagger f Y_\nu^\dagger Y_\nu)]_{ij} \left(\ln \frac{M_{Pl}}{M_{B-L}} \right)^2$$

$$\Delta m_{ij}^2 (i \neq j) \simeq \frac{-3(m_0^2 + A_0^2)}{32\pi^4} [Y_\nu^\dagger Y_\nu f^\dagger f + f^\dagger f Y_\nu^\dagger Y_\nu]_{ij} \left(\ln \frac{M_{Pl}}{M_{B-L}} \right)^2$$

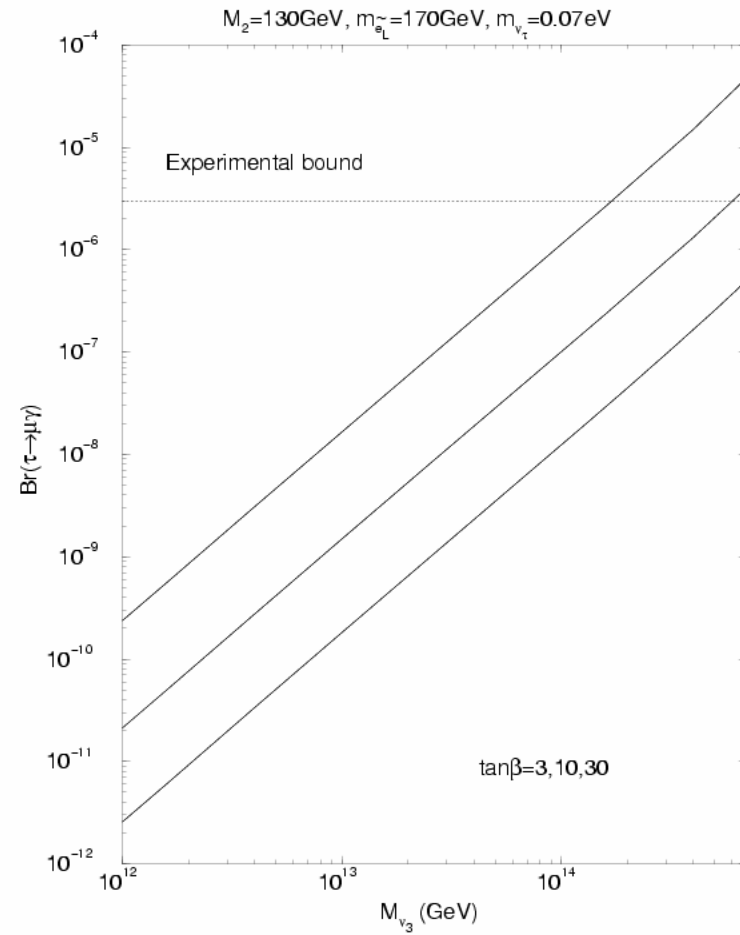
LFV in the two scenarios are comparable.

More detailed study is needed.

$\mu \rightarrow e\gamma$ in the MSSMRN with the MSW large angle solution



$\tau \rightarrow \mu\gamma$ in the MSSMRN



LFV from Dirac neutrino Yukawa couplings

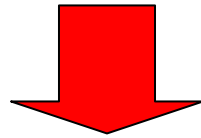
Hisano, Okada, (1998)

LFV from Majorana Yukawa Couplings

Minimal SUSY Left-Right Symmetric Model Dutta, Mohapatra, KSB (2002)

$$v_{B-L} = 2 \times 10^{12} \text{ GeV}, M_D \propto M_{l+}$$

$$f = \begin{pmatrix} -1.1 \times 10^{-4} & -0.015 & 0.29 \\ -0.015 & 0.50 & -0.57 \\ 0.29 & -0.57 & 0.104 \end{pmatrix}$$



$$(m_1, m_2, m_3) = (-2.7 \times 10^{-3}, 6.4 \times 10^{-3}, 8.6 \times 10^{-2}) \text{ eV}$$

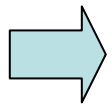
$$U = \begin{pmatrix} 0.85 & -0.52 & -0.053 \\ 0.33 & 0.62 & -0.72 \\ -0.40 & -0.59 & -0.70 \end{pmatrix}$$

Relevant for Leptogenesis: Baryon asymmetry can be related to neutrino oscillation parameters

For Dirac LFV scenario

$$M_R = (9 \times 10^{13} \text{ GeV}) \times (\text{Identity Matrix})$$

$$Y_\nu = \begin{pmatrix} 0.04 + 0.074i & -0.073 + 0.029i & 0.025 - 0.034i \\ -0.073 + 0.029i & -0.22 + 0.011i & -0.35 - 0.013i \\ 0.025 - 0.034i & -0.35 - 0.013i & -0.24 + 0.016i \end{pmatrix}$$



Same neutrino oscillation parameters as in Majorana LFV

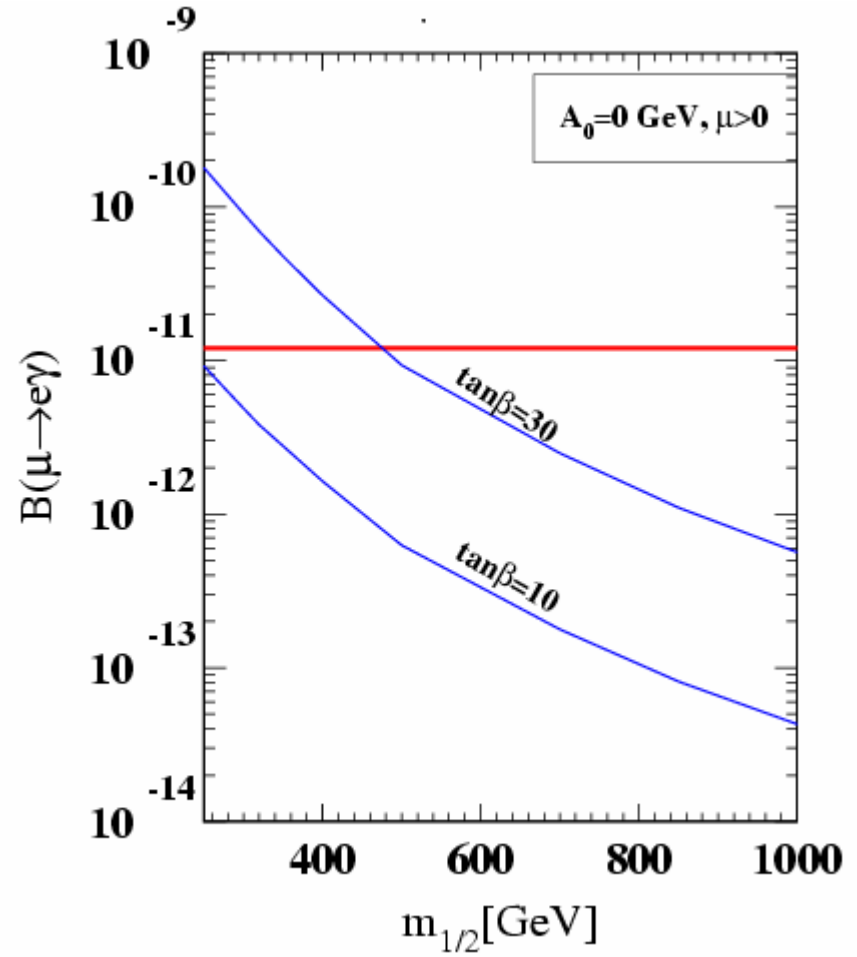
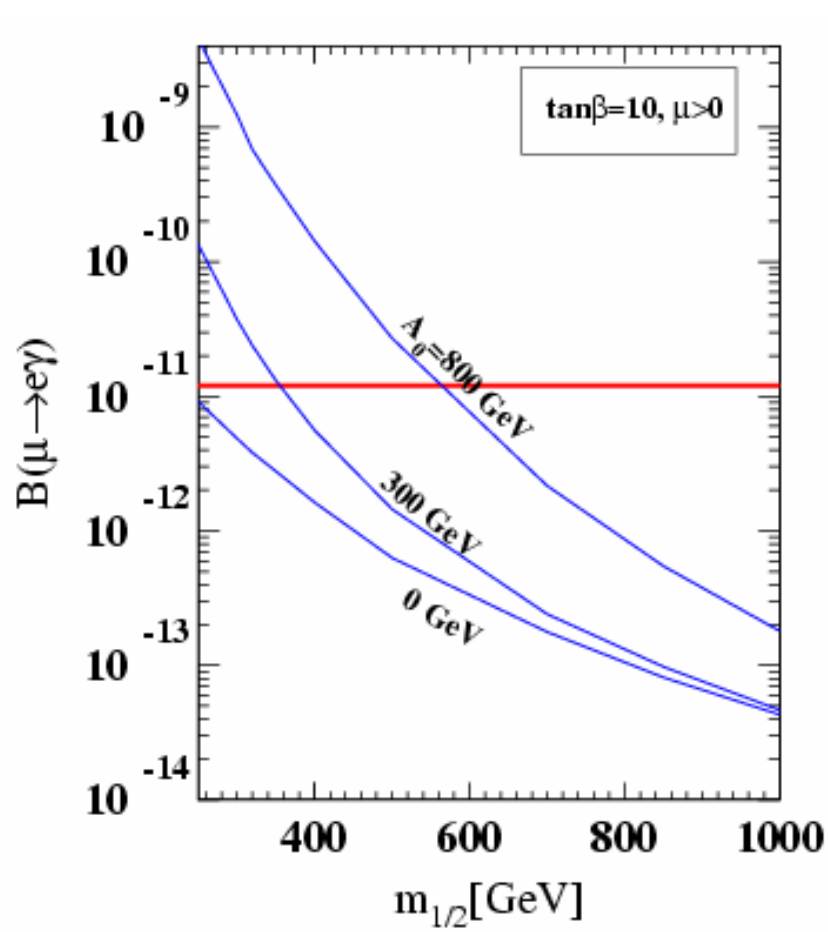
The two scenarios differ in predictions for

$$\mu \rightarrow e\gamma$$

$$\tau \rightarrow \mu\gamma$$

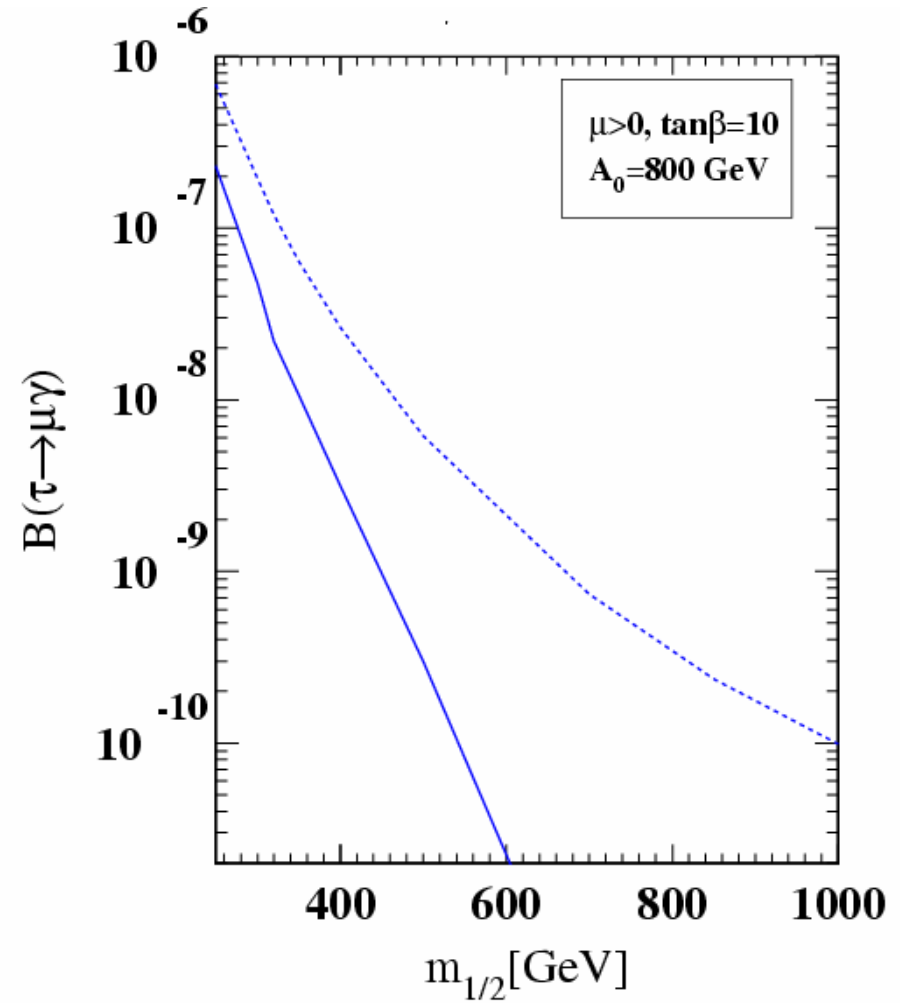
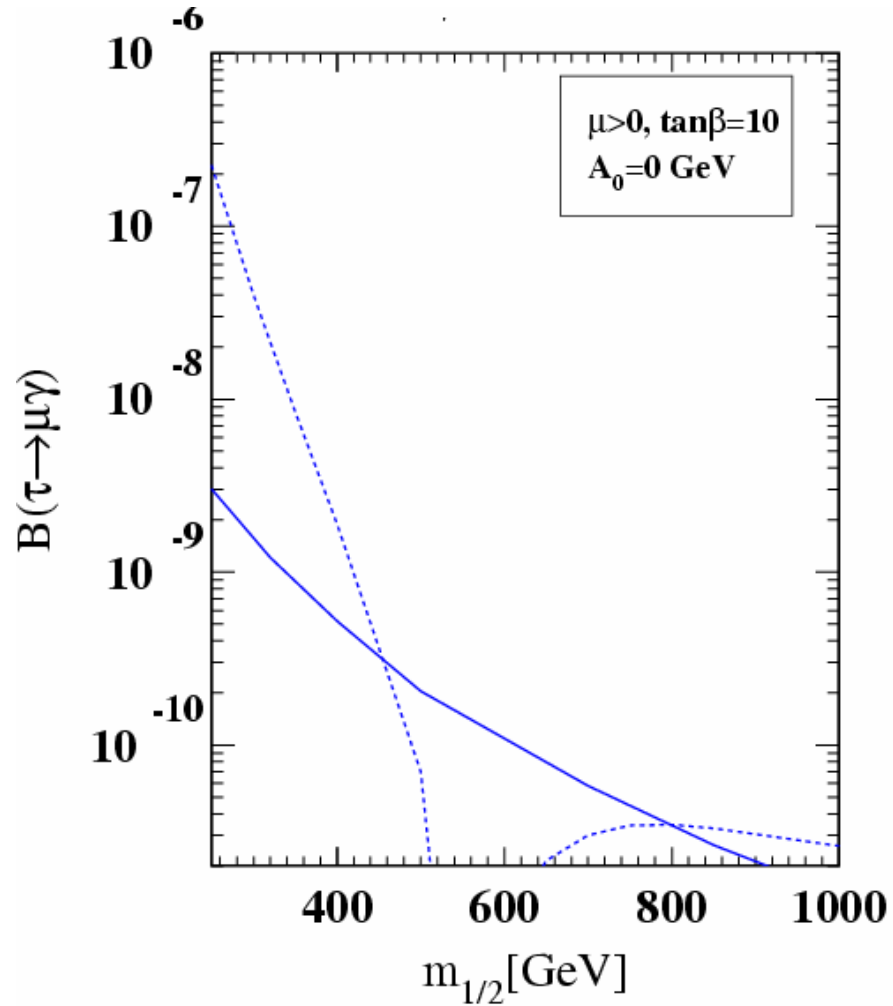
$$\tau \rightarrow e\gamma$$

$\mu \rightarrow e\gamma$ Majorana LFV

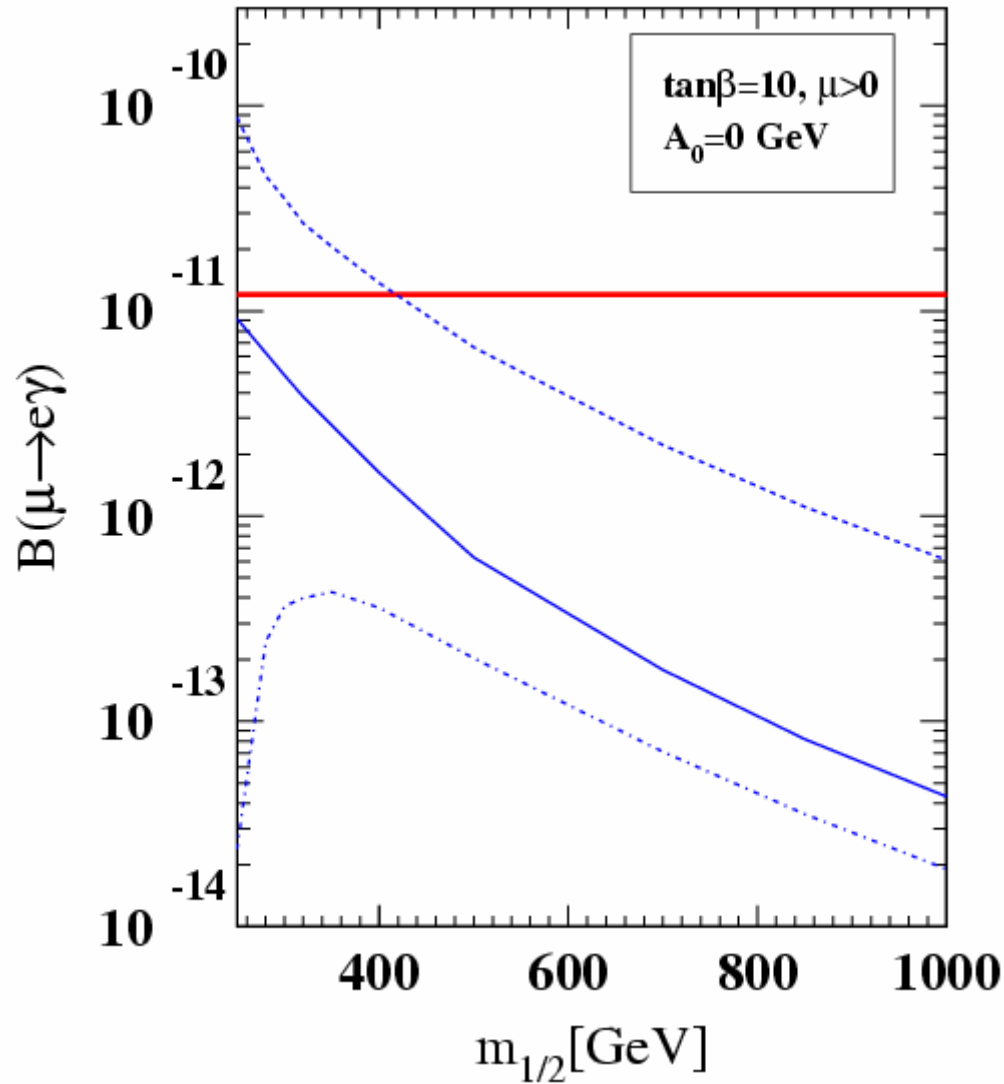


$$\tau \rightarrow \mu \gamma$$

Majorana LFV



Dirac versus Majorana LFV

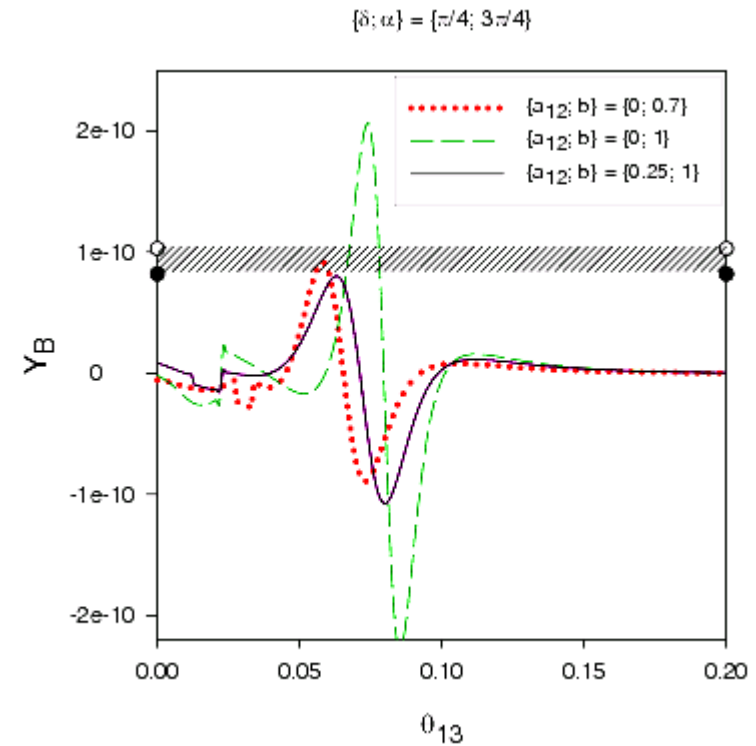
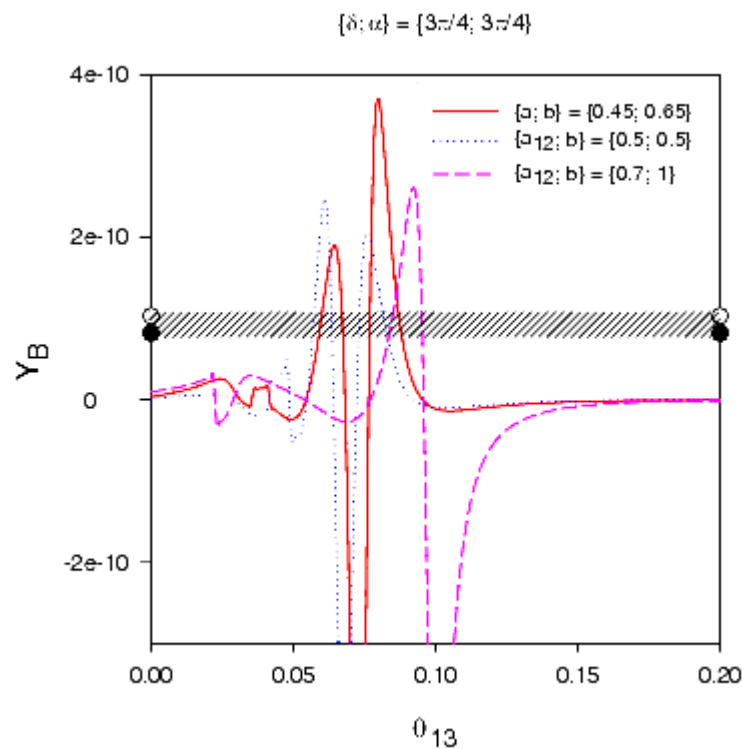


Solid line: Majorana LFV

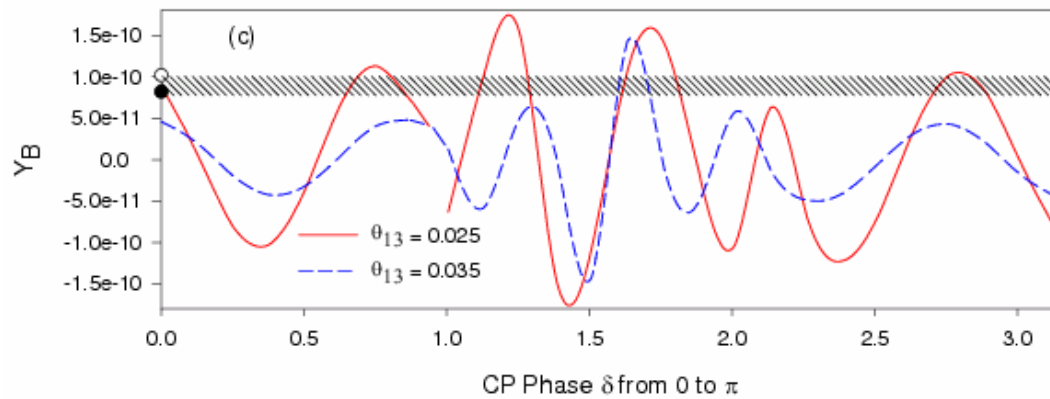
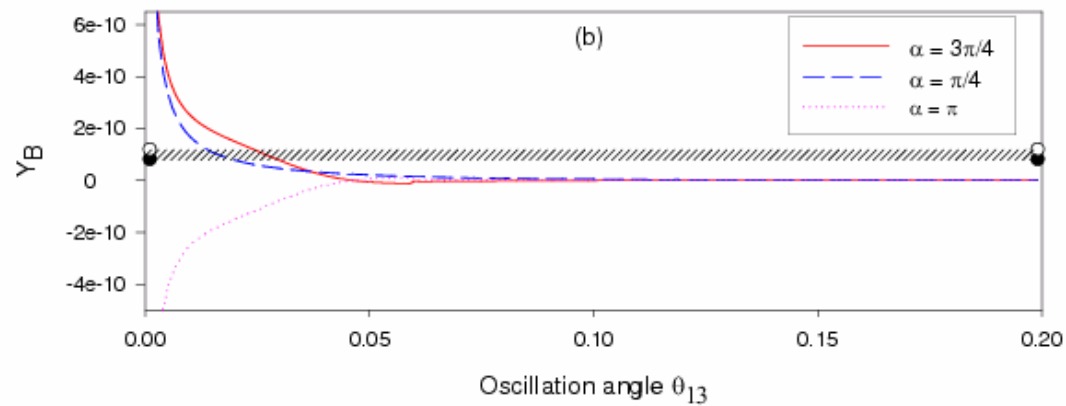
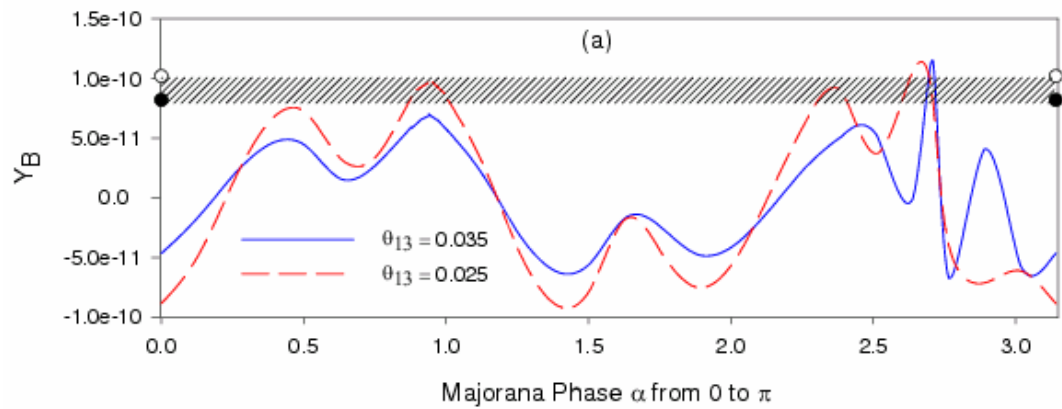
Dotted line: Dirac LFV

Baryon Asymmetry in the Minimal SUSY Left-Right Model

Seesaw sector has only 9 parameters (SM seesaw has 18 parameters)
 Y_B determined completely in terms of neutrino mixing parameters



Bachri, KSB (2004)



Large Neutrino Mixing with Lopsided Mass Matrices

Quark and Lepton Mass hierarchy:

$$m_d : m_s : m_b \sim m_e : m_\mu : m_\tau \sim \epsilon_1 : \epsilon_2 : \epsilon_3$$

$$m_u : m_c : m_t \sim \epsilon_1^2 : \epsilon_2^2 : \epsilon_3^2$$

This motivates: $U = H^T U_0 H$

$$D = D_0 H$$

$$L = H^T L_0$$

$$N = N_0$$

$$H = \text{Diag}(\epsilon_1, \epsilon_2, \epsilon_3) \quad \epsilon_1 \ll \epsilon_2 \ll \epsilon_3$$

10_i of $SU(5)$ carry flavor charge, $\bar{5}_i$ do not.

Leads to large left-handed charged lepton mixing and large right-handed down quark mixing.

S. Barr, KSB (1995)

Albright, KSB and Barr (1998)

Sato and Yanagida (1998)

Irges, Lavignac, Ramond (1998)

Altarelli, Feruglio (1998)

Example of Lopsided Mass Matrices

Gogoladze, Wang, KSB (2003)

$$\begin{aligned}
 U_{ij} &= \begin{pmatrix} \epsilon^6 & \epsilon^5 & \epsilon^3 \\ \epsilon^5 & \epsilon^4 & \epsilon^2 \\ \epsilon^3 & \epsilon^2 & 1 \end{pmatrix} H_u, & D_{ij} &= \begin{pmatrix} \epsilon^4 & \epsilon^3 & \epsilon^3 \\ \epsilon^3 & \epsilon^2 & \epsilon^2 \\ \epsilon & 1 & 1 \end{pmatrix} \epsilon^p H_d, \\
 L_{ij} &= \begin{pmatrix} \epsilon^4 & \epsilon^3 & \epsilon \\ \epsilon^3 & \epsilon^2 & 1 \\ \epsilon^3 & \epsilon^2 & 1 \end{pmatrix} \epsilon^p H_d, & \nu_{ij}^D &= \begin{pmatrix} \epsilon^2 & \epsilon & \epsilon \\ \epsilon & 1 & 1 \\ \epsilon & 1 & 1 \end{pmatrix} \epsilon^{a_1} H_u
 \end{aligned}$$

$\epsilon \sim 0.2$

$$\nu_{ij}^M \propto \begin{pmatrix} \epsilon^2 & \epsilon & \epsilon \\ \epsilon & 1 & 1 \\ \epsilon & 1 & 1 \end{pmatrix} \epsilon^{a_2} \sim M_{\text{light}}^\nu$$

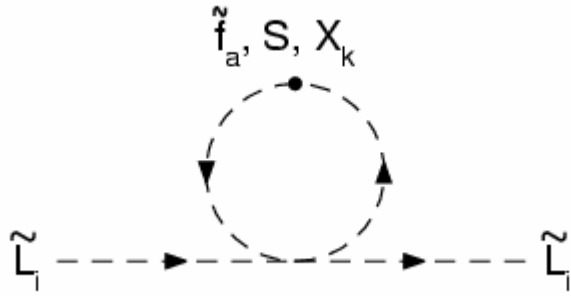
Discrete Z_N Gauge Symmetry

| | Q_i | u_i^c | d_i^c | L_i | e_i^c | ν_i^c | H_u | H_d | θ | S | A_2 | A_3 |
|---|--------|---------|----------|-------|---------|-----------|-------|-------|----------|-----|-------|-------|
| A | 0,2,6 | 1,3,7 | 3,5,5 | 4,6,6 | 13,1,5 | 5,7,7 | 1 | 13 | 7 | 2 | 6 | 13 |
| B | 4,6,10 | 13,1,5 | 11,13,13 | 6,8,8 | 9,11,1 | 5,7,7 | 13 | 1 | 7 | 2 | 13 | 13 |
| C | 6,8,12 | 5,7,11 | 1,3,3 | 0,2,2 | 7,9,13 | 5,7,7 | 9 | 5 | 7 | 2 | 13 | 6 |

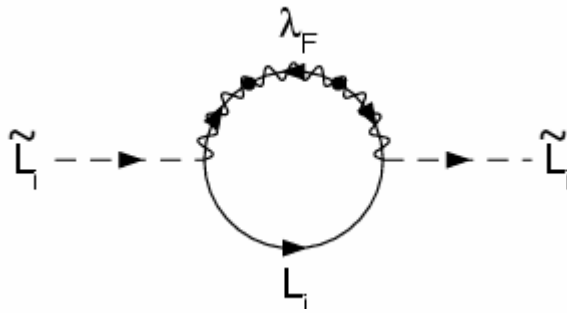
U(1) flavor symmetry will lead to observable charge lepton flavor violation.

Anomalous U(1) Symmetry and Lepton Flavor Violation

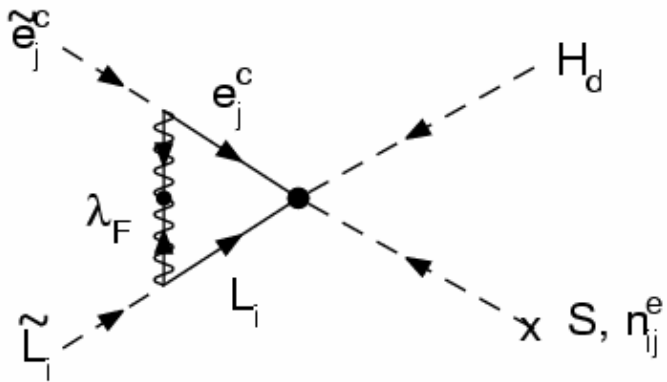
Enkhbat, Gogoladze, KSB (2003)



$$\delta (\tilde{m}_L^2)_{ij}^A \simeq -q_i^L |q_s| g_F^2 \delta_{ij} m_0^2 \text{Tr}(Q) \frac{\ln(M_{st}/M_F)}{8\pi^2}$$

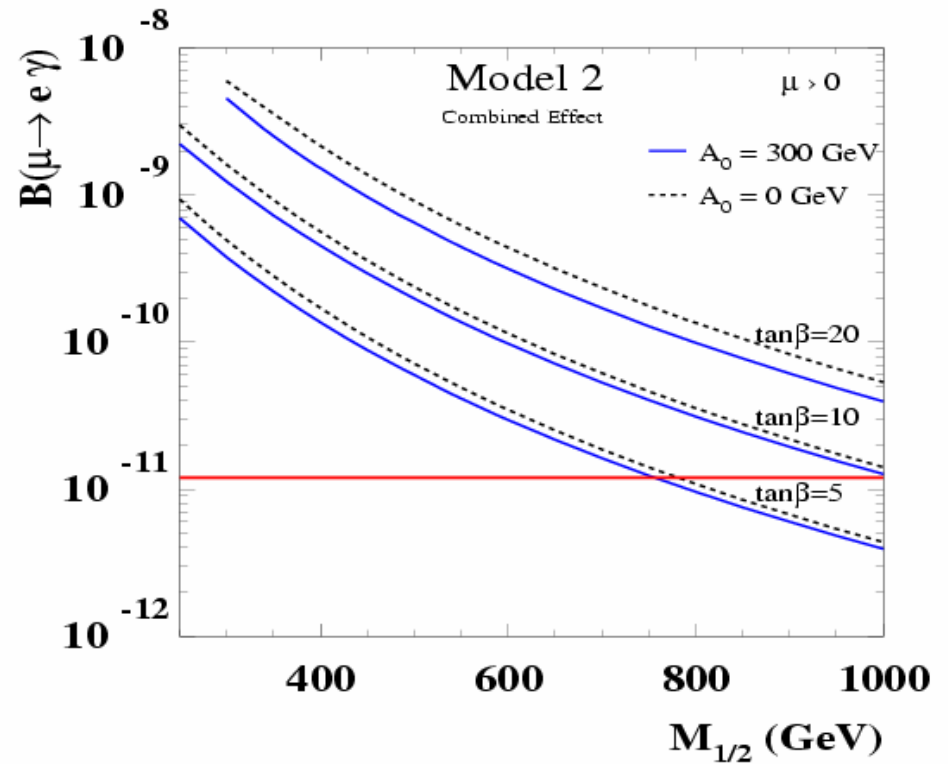
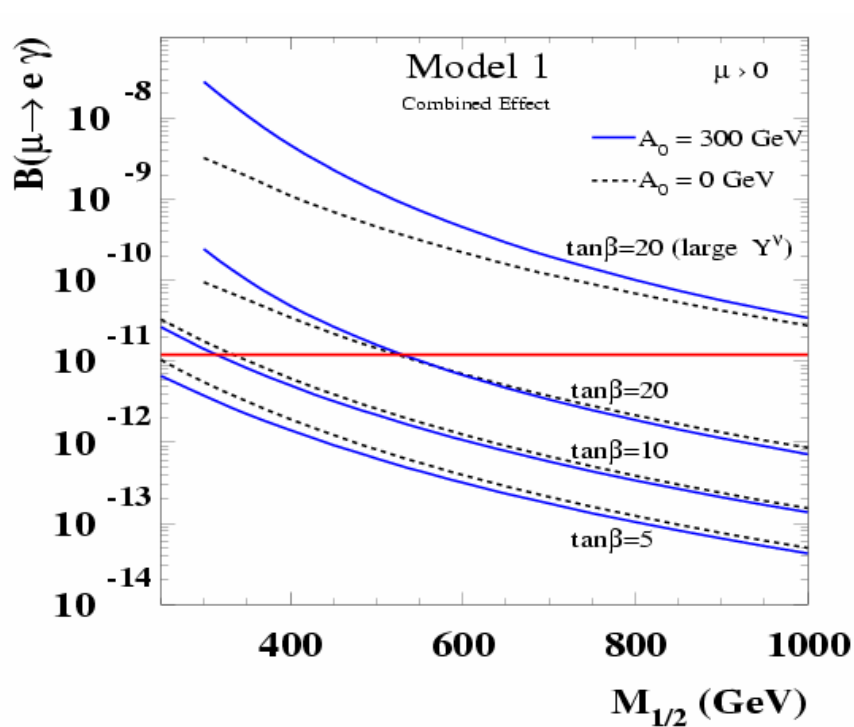


$$\delta (\tilde{m}_L^2)_{ij}^G \simeq (q_i^L g_F)^2 \delta_{ij} (M_{\lambda_F})^2 \frac{\ln(M_{st}/M_F)}{2\pi^2}$$



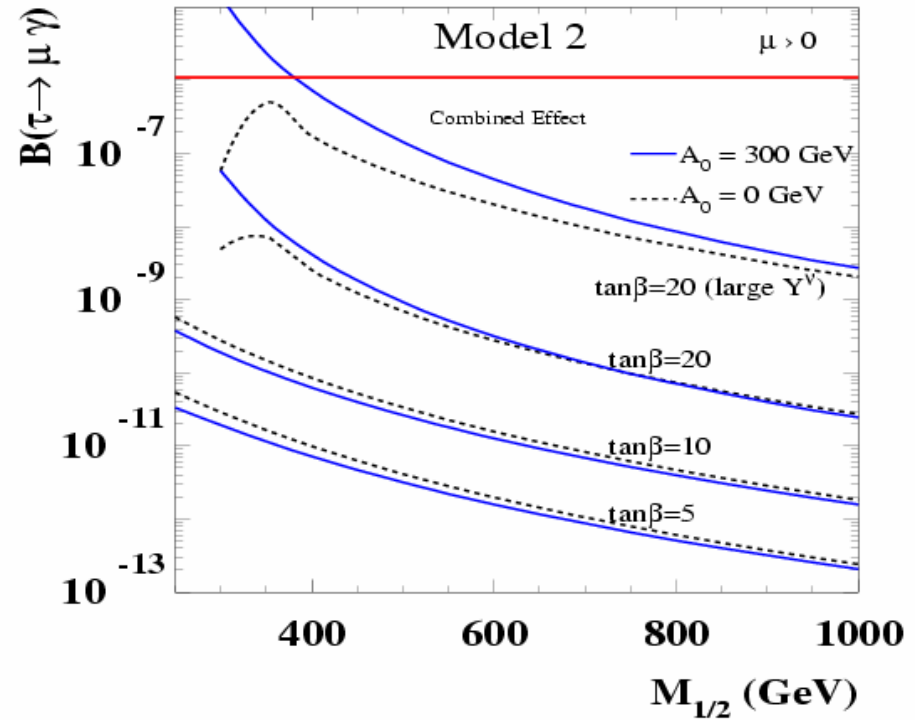
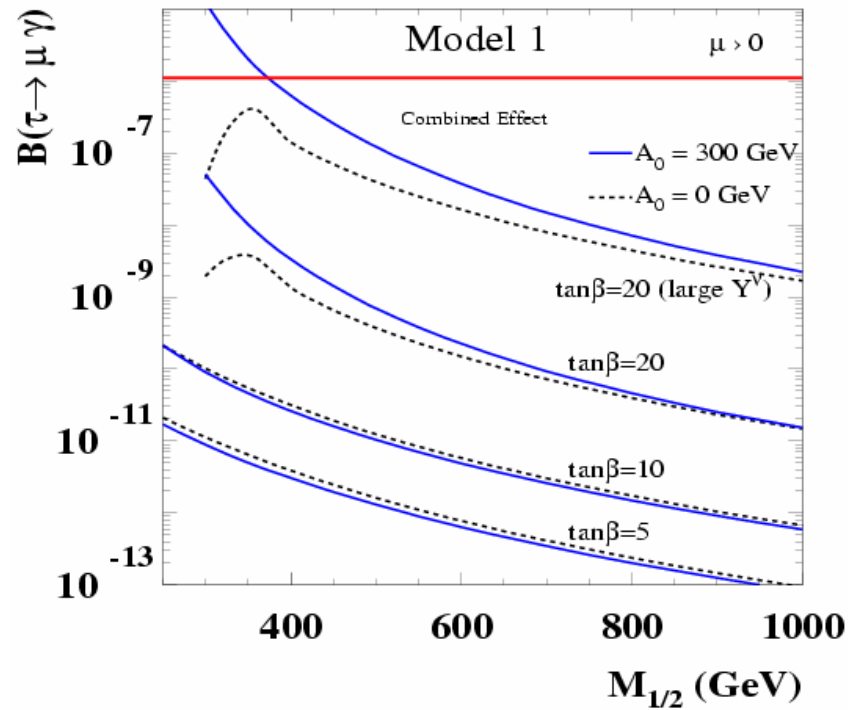
$$\delta A_{ij}^e \simeq -M_{\lambda_F} g_F^2 Y_{ij}^e Z_{ij}^e \frac{\ln(M_{st}/M_F)}{4\pi^2}$$

$\mu \rightarrow e\gamma$ in Anomalous $U(1)$ Models



Enkhbat, Gogoladze, KSB (2003)

$\tau \rightarrow \mu \gamma$ in Anomalous $U(1)$ Models



Enkhbat, Gogoladze, KSB (2003)

Electric Dipole Moments of the leptons and the neutron

$$\mathcal{L}_{eff} = -\frac{i}{2}d_f\bar{\psi}\sigma_{\mu\nu}\gamma_5\psi F^{\mu\nu}$$

Violates CP

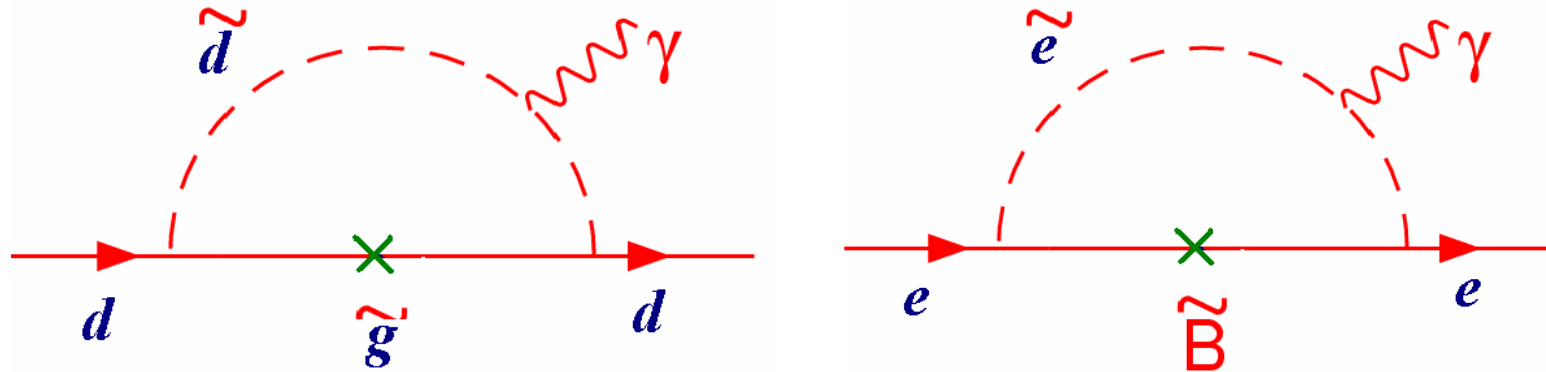
Electron: $d_e(\text{Exp}) \leq 1.6 \times 10^{-27}$ e-cm

Muon: $d_\mu(\text{Exp}) \leq 1.2 \times 10^{-18}$ e-cm

Neutron: $d_n(\text{Exp}) \leq 6.3 \times 10^{-26}$ e-cm

Phases in SUSY breaking sector contribute to EDM.

SUSY Contributions:



A, B are complex in MSSM

$$d_n \sim (\sin \phi) 10^{-23} \text{ e-cm}$$

$$d_e \sim (\sin \phi) 10^{-24} \text{ e-cm}$$

$$\Rightarrow \phi \simeq 10^{-2} - 10^{-1}$$

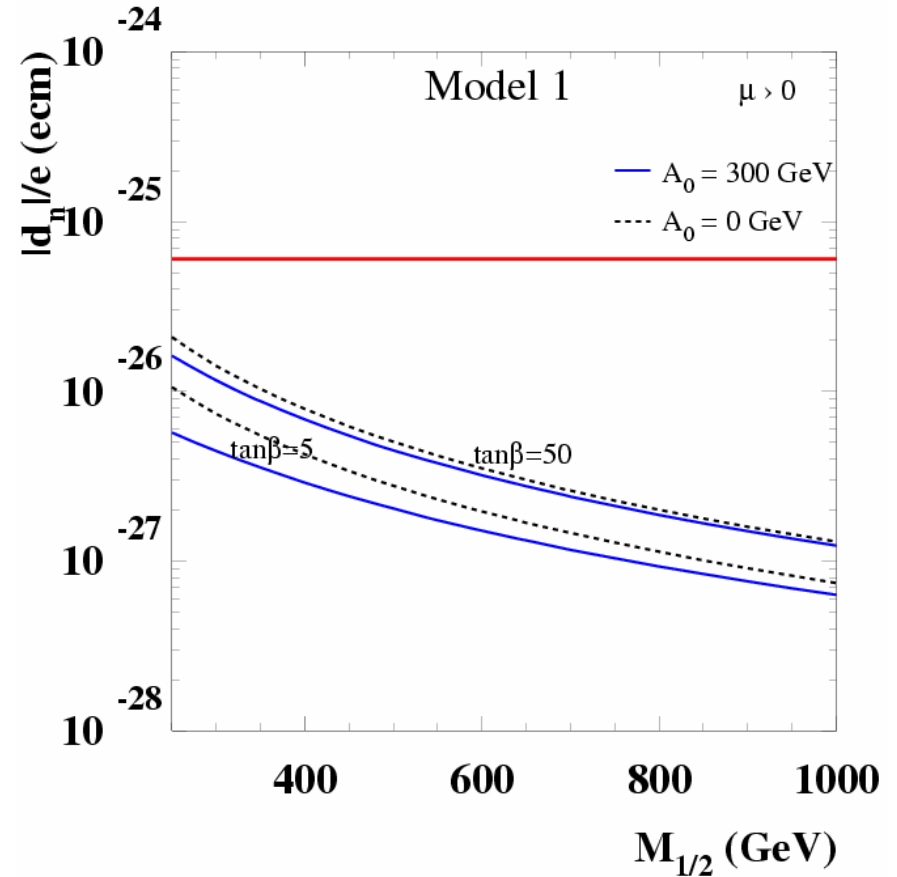
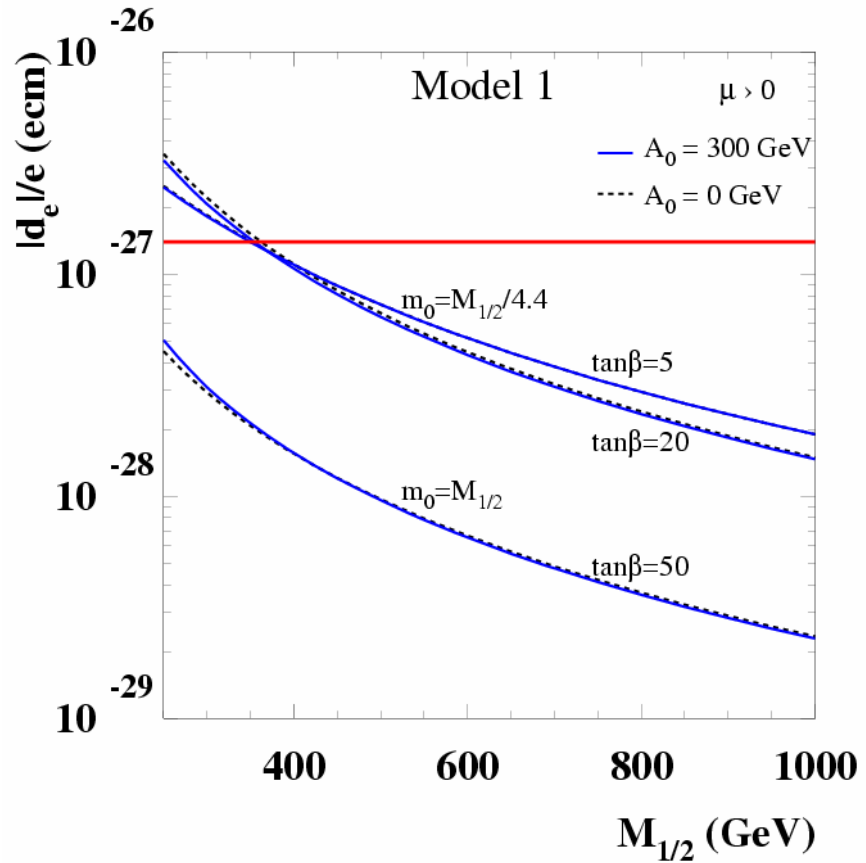
If SUSY-breaking parameters are all real, EDMs can still arise from neutrino Yukawa couplings

$$d_e \sim 10^{-31} \text{ e-cm}$$

Ellis et al (2002)

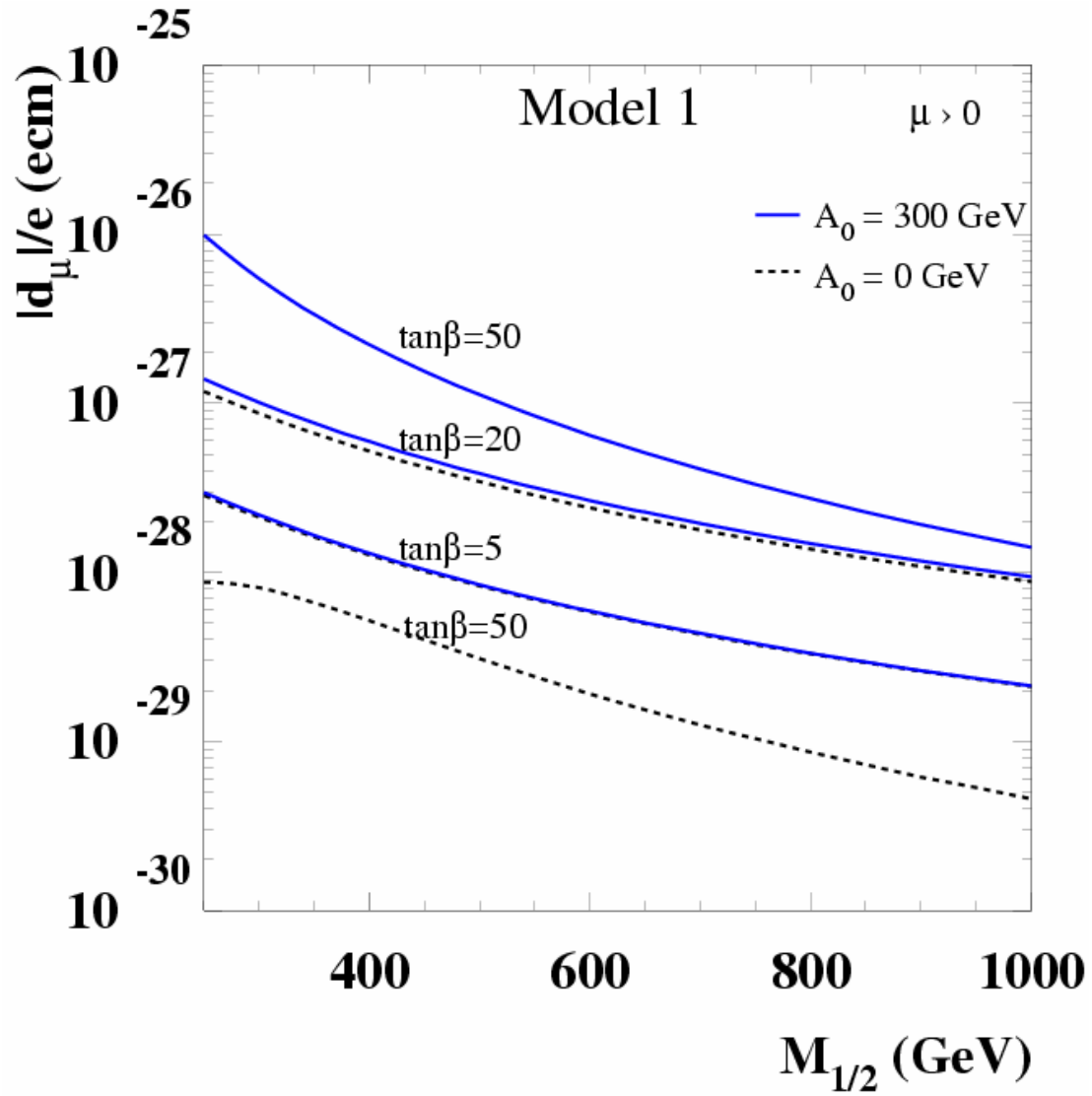
EDM of the electron and the neutron in Anomalous U(1) Models

Complex Yukawa couplings, Real Soft SUSY breaking terms



Enkhbat, KSB (2004)

Muon EDM



If parity is realized asymptotically,

$$Y_U, Y_D, Y_E \quad \text{HERMITIAN}$$

$$A_U, A_D, A_E \quad \text{HERMITIAN}$$

$$f \quad \text{Complex Symmetric}$$

EDM will arise only through non-hermiticity induced by RGE.

$$d_e \simeq 10^{-28} - 10^{-27} \text{ e-cm};$$

$$d_n \simeq 10^{-26} - 10^{-27} \text{ e-cm}$$

Subject to experimental tests

$$d_\mu = 10^{-22} - 10^{-23} \text{ e-cm}$$

Dutta, Mohapatra, KSB (2001)

A₄ Symmetry and Quasi-degenerate Neutrino

E. Ma, 2002

$$L_i \sim 3, \quad e_i^c : (1, 1', 1'')$$

E. Ma, J. Valle, KSB, 2002

$$\mathcal{M}_e = U_L \begin{bmatrix} h_1^{e'} & 0 & 0 \\ 0 & h_2^{e'} & 0 \\ 0 & 0 & h_3^{e'} \end{bmatrix} \frac{\sqrt{3} f_e v_1 u}{M_E}, \quad U_L = \frac{1}{\sqrt{3}} \begin{bmatrix} 1 & 1 & 1 \\ 1 & \omega & \omega^2 \\ 1 & \omega^2 & \omega \end{bmatrix}$$

$$\mathcal{M}_\nu = \frac{f_N^2 v_2^2}{M_N} U_L^T U_L = \frac{f_N^2 v_2^2}{M_N} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

With Arbitrary Soft A₄ Breaking

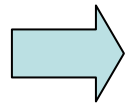
$$\begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix} = \begin{bmatrix} \cos \theta & \sin \theta / \sqrt{2} & \sin \theta / \sqrt{2} \\ -\sin \theta & \cos \theta / \sqrt{2} & \cos \theta / \sqrt{2} \\ 0 & -1 / \sqrt{2} & 1 / \sqrt{2} \end{bmatrix} \begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix}$$

With Complex parameters, $\arg(U_{e3}) = \pi/2$

Inverted Hierarchy Models

$$M_\nu = \begin{pmatrix} 0 & A & B \\ A & 0 & 0 \\ B & 0 & 0 \end{pmatrix}$$

$L_e - L_\mu - L_\tau$ Symmetry

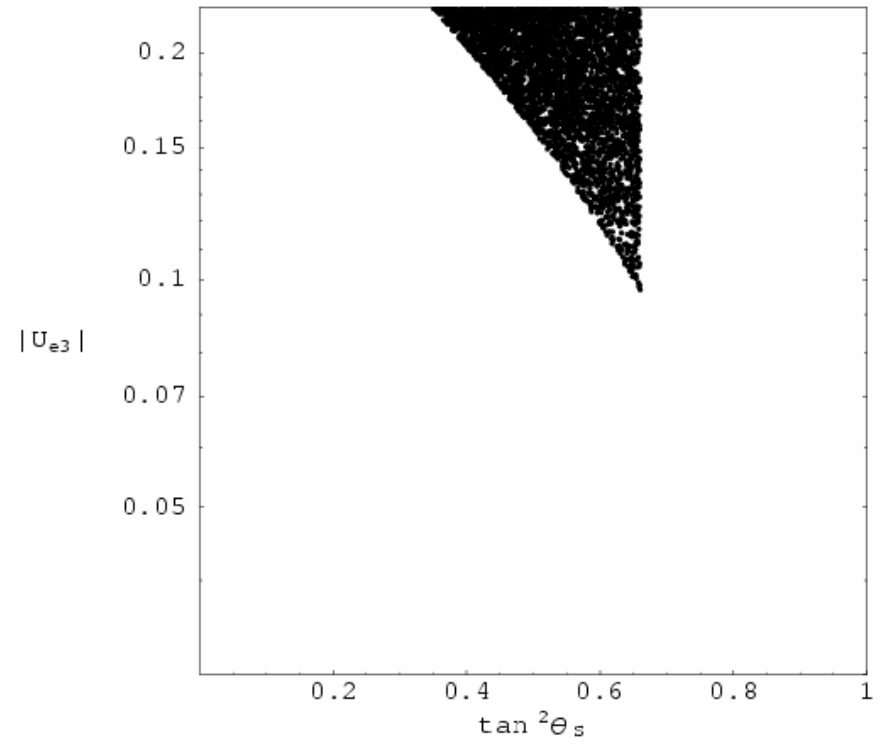
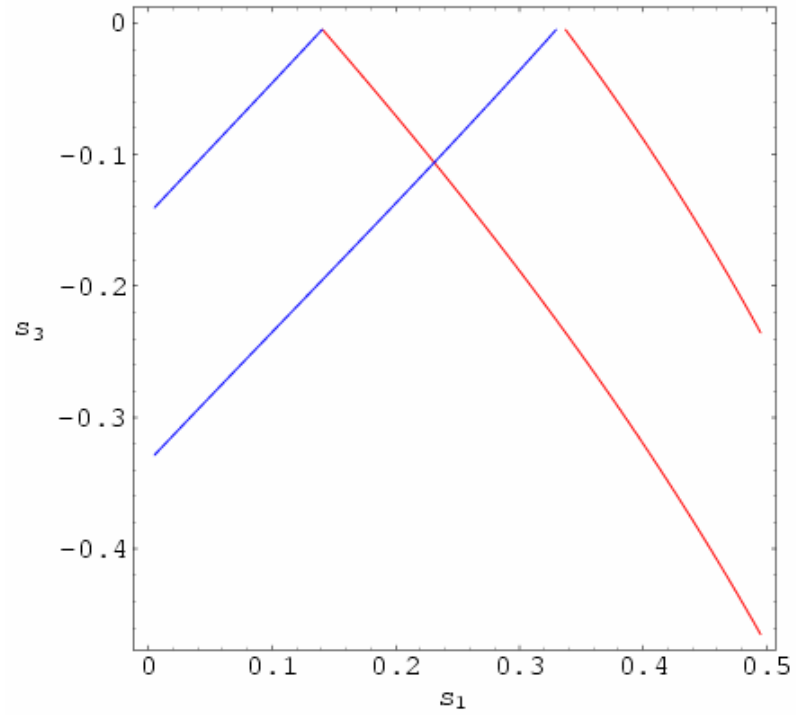


Bimaximal Neutrino Mixing

Symmetry breaking has to be strong in order to accommodate the Solar oscillation angle

Symmetry breaking needed in the charged lepton mass matrix

L_e - L_μ - L_τ Models



Joshipura, KSB (2004)

Conclusions

- **Neutrino experiments pinning down fundamental parameters of theory**
- **Large neutrino mixings can arise from unified theories through lopsided mass matrices**
- **Anomalous U(1) models natural candidates for explaining fermion masses and neutrino mixings**
- **Lepton Flavor Violation $\tau \rightarrow \mu\gamma$, $\mu \rightarrow e\gamma$, and EDMs within reach of experiments**